Are you able to name the 6 basic human emotions? Happiness, sadness, anger, fear, surprise, and disgust. How do emotions arise and how can they be measured? What anatomic correlations should you know? What are the most important theories about emotions? The following article answers all of these and even more questions. Moreover, you will learn important clinical connections: anxiety disorders and depression are common diseases that you will encounter in your clinical routine. Make yourself familiar with the basics so that you are perfectly prepared for preclinical exams and your "Physikum".

Definition and Components of Emotion

**Definition:** An emotion is a subjective, important human reaction to a physical stimulus. Situations, actions, or concrete objects can have negative or positive meanings for individuals.

The 3 components of the hypothetical construct called emotion

- **Physiological** component: your body’s actual response to the emotional stimulus
- **Cognitive** component: your mind’s processing of the emotional stimulus
**Behavioral** component: expression (facial expressions/gestures) and motivation

![Image: Visual representations of different emotions. By Lecturio](image)

Physiological arousal refers to the excitation of the body’s internal state. Behavioral reactions to emotion include some expressive behavior. The cognitive aspect involves an appraisal or interpretation of the situation.

Darwin assumed that emotions had a strong biological basis. He argued that they evolved via natural selection and have a universal cross-cultural expression. This has been validated and supported by preliterate cultures. There are 6 major universal emotions.

The distinction of the terms feeling, mood, and affect from emotion

- **Feeling**: subjective affective component, average duration, and average intensity
- **Mood**: longer duration and less intense
- **Affect**: very short; a severe wave of emotion (‘murder in the heat of the moment’)

The adaptive role of emotion
The **Yerkes-Dodson law** states that the relationship between performance and emotional arousal is a U-shaped correlation. There is an ‘optimal’ range where arousal provides benefit. It varies depending on the individual.

Emotion may enhance **survival** by increasing arousal and priming for quick decisions (fear, anger). Emotions can influence daily life decisions providing framework and consequences.

**Theories of emotion**

**James-Lange theory**

- Physiological and behavioral responses to stimuli trigger the cognitive aspect of emotion
- Support includes the effects of smiling and shallow vs. deep breaths

<table>
<thead>
<tr>
<th>Perception of emotion-arousing stimulus</th>
<th>Specific physiological changes</th>
<th>Interpretation of physiological changes as the emotion</th>
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<tbody>
<tr>
<td><img src="image" alt="Fight or flight" /></td>
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Weakness in the theory includes:

- Each emotion originates from a distinctive physiological state. Many emotional states share similar physiological patterns (i.e., fear and sexual arousal).
- The assumption that we possess the ability to label physiological states accurately. Physiological states can be interpreted differently based on context (i.e., anxiety vs. excitement).
Cannon-Bard theory

Physiological and cognitive responses to stimuli occur simultaneously and independently. This then leads to a behavioral reaction. This model can explain the overlap in physiological states between emotions (i.e., fear and sexual arousal). It is unable to explain the phenomenon in which behavioral response influences the physical and cognitive aspects of emotion (i.e., smiling leads to happiness).

Schachter-singer theories of emotion

Physiological arousal leads to conscious cognitive interpretation based on circumstance.
Summary

<table>
<thead>
<tr>
<th>James and Lange’s Theory</th>
<th>Physical changes are the reason for emotions. <strong>Pattern:</strong> perception of the object – triggering of physical reactions – perception as emotion.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cannon and Bard’s theory</td>
<td>Emotions are only possible via the perception of stimuli/perception of stimuli in the brain. <strong>Pattern:</strong> perception of the object – activation of thalamus – simultaneous physiological and emotional excitation (preprogrammed reflex).</td>
</tr>
<tr>
<td>Schachter and Singer’s 2-components theory</td>
<td>The precondition for emotion is an unspecific physiological stimulus. <strong>Pattern:</strong> stimulus – unspecific physiological excitement – cognitive assessment – emotion.</td>
</tr>
</tbody>
</table>

Classification of Emotions

Emotions are classified into primary emotions and secondary emotions. Current scientific knowledge estimates the first development of primary emotions to be of genetic origin.

The 6 primary emotions

- Happiness
- Sadness
- Anger
- Fear
- Surprise
- Disgust

The facial expression of basic emotions is the same across different cultures. A coding system called the facial action coding system (FACS) has been developed that lists the individual groups of muscles responsible for showing each emotion. This means that for each basic emotion there is a corresponding, defined facial expression!

Secondary emotions: blended emotions or ‘learned’ emotions

To a large extent, genetics may be responsible for the development of primary emotions. However, social and cultural influences shape the subsequent experience of emotions.

A culturally-specific example is the appropriateness of emotions in certain situations. Anger is either unleashed in rage or controlled. Social roles shape emotional behavior even further. E.g., boys are still taught that crying is not appropriate behavior for men.
Measuring Emotions: Quality and Intensity

Qualitative dimensions of measuring emotions (by W. Wundt)

- Desire-displeasure
- Excitement-calm
- Tension-relaxation

Psychophysiological methods

Emotions can be measured through muscle activity. The FACS makes a classification possible.

Ekman and Friesen’s classification of basic emotions and facial expressions (1978)

<table>
<thead>
<tr>
<th>Basic emotion</th>
<th>Facial expression</th>
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</thead>
<tbody>
<tr>
<td>Happiness</td>
<td>Tense lower eyelid raised corners of the mouth</td>
</tr>
<tr>
<td>Surprise</td>
<td>Raised eyebrows, raised upper eyelid, open mouth</td>
</tr>
<tr>
<td>Anger</td>
<td>Lowered eyebrows, frowning, raised upper eyelid, tense lower eyelid</td>
</tr>
<tr>
<td>Disgust</td>
<td>Tense lower eyelid, raised upper lip</td>
</tr>
<tr>
<td>Fear</td>
<td>Partly raised/partly lowered eyebrows, frowning, raised upper eyelid, open mouth</td>
</tr>
<tr>
<td>Sadness</td>
<td>Partly raised/partly lowered eyebrows, lowered corner of the mouth</td>
</tr>
<tr>
<td>Disdain</td>
<td>Tense corner of the mouth raised on 1 side</td>
</tr>
</tbody>
</table>

Neurobiological Basis of Emotions

Below we will present you with the anatomically important correlates for the generation and processing of emotions.

- **Parasympathetic and sympathetic nervous system**: control of the physiological components via catecholamines (noradrenaline and adrenaline)
- **Frontal lobe**: lesions in this cerebral area lead to disorders of social behavior; social interaction becomes only possible in a reduced or inappropriate way. Facial expressions and gestures are also diminished by the frontal lobe (poker face).
- **Hypothalamus and limbic system**: controls the release of hormones and neurotransmitters. (The limbic system is named after P. Broca ‘La grande lobe limbique’ and is not an anatomical but a functional unit for emotion, drive, and learning).
- **Amygdalae**: if the amygdalae are removed on both sides, fear is reduced, and otherwise dangerous stimuli are no longer perceived as aversive. **Without the amygdalae, there is no fight-or-flight reaction to conditioned fear stimuli.**
- **Hippocampus**: responsible for comparing expectations and actual sensory information. Mismatches are opposed by a behavior-stop-system. **Without the hippocampus, vital avoidance behavior is severely impaired.**
Fear as Emotion

Fear has many qualities. Roughly speaking it describes the feeling of being threatened and of anxiety. The different above-mentioned components usually express themselves (even though there are great differences from 1 person to another!) as follows:

<table>
<thead>
<tr>
<th>Physiological component</th>
<th>Cognitive component</th>
<th>Observable behavior</th>
</tr>
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<tbody>
<tr>
<td>Tachycardia, tremor, chills, nausea, and urge to urinate</td>
<td>Worries, speculation, and concern</td>
<td>Raising of the lower lip and tension of the eyelids</td>
</tr>
</tbody>
</table>

Infants develop the 1st expressions of fear with their fear of strangers (6 months of age) and separation anxiety (8 months of age). Just like every emotion, fear in its normal manifestation is a functional emotion that warns and protects us against dangerous situations. Too much or too little fear is referred to as anxiety disorder. Affected individuals are usually extremely restricted in their quality of life and have a high subjective level of suffering.

Although the complete lack of fear may be desirable at first glance, it leaves the affected person without any of the very important protective effects.

Other types of fear:

- **Concrete fear**: emotional, appropriate fear reaction (anxiety)
- **Phobia**: exaggerated reaction to a certain situation (objects like spiders = arachnophobia)

State anxiety vs. trait anxiety

<table>
<thead>
<tr>
<th>State</th>
<th>Trait</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acute, situational state of fear</td>
<td>Long-lasting personal characteristic, a disposition that is stable over time</td>
</tr>
</tbody>
</table>

Sensitizer or repressor?

Do you deal with your fear deliberately or do you repress or suppress your fear as best as possible?

**Sensitizers** try to gather as much information as possible about the situation, the object or the circumstances that frighten them (e.g., the package information for drugs is read in great detail).

**Repressors** try to expose themselves as little as possible to factors that trigger fear.

Both behaviors are possible means to cope with fear.

**Practical advice**: As a physician, you have to learn to handle both types of coping with fear. Conversations and therapies have to be customized according to the coping strategy and the patient’s wish for information (e.g., does the patient want information about every little detail of a routine surgery or does he want only basic information?).

Anxiety disorders: Neuroses

**Generalized anxiety disorder**

Affected individuals suffer from diffuse fear and are permanently tense, brooding, worried, and full of concern about trivial things.
Panic disorder

A panic disorder is diagnosed when patients spontaneously, as if ‘struck by thunder’ in their everyday lives, suffer from panic attacks. The affected individuals are severely impaired. Often physicians do not detect panic disorders because patients rarely present during an episode.

Specific phobias

In the case of specific phobias, fears, and respective avoidance strategies, focus on a specific object or situation. Few common examples that quite frequently occur in exam questions are the following:

- **Phobia of animals** like arachnophobia (fear of spiders) or cynophobia (fear of dogs)
- **Hemophobia** (fear of blood)
- **Aviophobia** (fear of flying)
- **Acrophobia** (fear of heights)
- **Claustrophobia** (fear of narrow, closed rooms or spaces)

Social phobias

Social phobias describe the fear of social situations in which one could disgrace oneself or be humiliated. They are characterized by a fear of being assessed negatively by others in interaction and performance situations.

Agoraphobia

People suffering from agoraphobia are afraid of public places, crowds, and means of transport as well as other situations where an escape could be difficult or embarrassing. Agoraphobia has high comorbidity with panic disorders.

Obsessive-compulsive disorders

Obsessive-compulsive disorders are based on fear as well. Patients suffering from compulsions are afraid that terrible things are going to happen if they cannot carry out the compulsive act (“If I do not tie my shoelaces 7 times my grandfather will die.”). Usually, the compulsive acts consume most of the day and impair the patient’s quality of life significantly.

The most common obsessions are:

- **Washing obsession** (e.g., continuous hand washing out of fear of being unclean)
- **Control obsession** (e.g., continuous checking of electronic devices in the apartment)

Aggression as Emotion: Instinct or Learned?

**Definition:** the motive to harm oneself (self-harm) or others
The development of aggression – 3 possible explanations

Psychoanalytical perspective

According to psychoanalytic theory, Thanatos, the death instinct, is responsible for aggression. Human beings are compared to ‘steam boilers’ that build up pressure until the pressure has to be released.

Aggressive venting is a purification process (catharsis hypothesis) that reduces drive tension. It is assumed that, e.g., the viewing of aggressive scenes of a film substitutes for the real release of aggression. This view contradicts the theory, that the original form of aggressive behavior is learned!

Ethological perspective

Ethology interprets aggression as an animalistic instinct that serves the defense of habitat, the protection of the community, and the creation/preservation of social hierarchy.

Learned behavior-perspective

This theory is based on the assumption that aggressive behavior is acquired through theoretical learning mechanisms. Special emphasis is placed on learning from role models.

Dollard and Miller’s frustration-aggression hypothesis (1950)

The frustration-aggression hypothesis has its focus on the conditions that are responsible for the development of aggression. Frustration is experienced if an aspired goal is not reached because external factors act as obstacles.

People with high frustration tolerance are better at handling restrictions on their way to achieving a goal and without having their physical and psychological wellbeing offended; hence, they do not need to release tension through aggression.

Depression

Sadness, shame, disgust, rage, anger, hostility, and fear characterize the feeling of depression, an affective disorder. According to the Diagnostic and statistical manual of
mental disorders (DSM)-IV, at least 4 other symptoms have to be present in addition to a loss of interest or low spirit for depression (*unipolar disorder/major depression*) to be diagnosed.

- Sadness
- Loss of interest
- Feeling of inferiority
- Sleep disorder
- Lethargy/restlessness
- Loss of appetite/increased appetite
- Difficulty concentrating
- Suicidal thoughts

If manic and depressive stages are alternating, this is referred to as **bipolar disorder**. **Mania is characterized by extreme euphoria, hyperactivity, and a lack of critical faculties.**

**Explanatory models: How does depression develop?**

Besides the genetic component (especially in the case of bipolar disorders), neurochemical, and environmental factors play a major role. **Risk factors that contribute to the risk of developing depression are female gender, serious loss event** (divorce, death of important attachment figures, loss of work, etc.) and **low socioeconomic status**.

<table>
<thead>
<tr>
<th>Model for the development of depression</th>
<th>Example</th>
</tr>
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</table>
| **Lewinsohn’s loss-of-reinforcement theory** | Little positive reinforcement in everyday life through:  
  • Scarcity of reinforcing events  
  • Insufficient skill level in the social and/or professional sector | Feeling pleasure in baking a cake for oneself and one’s partner. No motivation after separation because the positive reinforcement is missing. |
| **Aaron Beck’s cognitive explanation** | Depression is a consequence of a distorted view of reality: negative evaluation of oneself, the environment and the future (cognitive triad) | ‘I’m just incapable of a relationship, everybody else thinks that and that’s never going to change.’ |
| **Seligman’s learned helplessness theory** | Basic assumption derived from experimental animal research: lack of avoidance behavior even with missing exposition to pain. Symptoms of learned helplessness:  
  • Emotional deficit  
  • Motivational deficit  
  • Cognitive deficit  
  • Neurobiological changes | Even after rats were unharnessed from the electric shock plate and could have fled to the safe part of the cage, they continued to sit in the danger zone where they were exposed to pain. |

**Pain: An Unpleasant Sensory and Emotional Experience**

The **International Association for the Study of Pain** (IASP) defines pain as an ‘unpleasant sensory and emotional experience’ associated with actual or potential tissue damage or described in terms of such damage’.

The subjectivity of pain differs greatly between individuals: susceptibility to pain, sensibility to pain, and the relation of pain to its cause vary to a great degree among individuals.
The 5 components of pain

There are 5 different components of pain:

- **Affective**: unenthusiastic emotion
- **Cognitive-assessing**: assessment of the experience of pain
- **Motoric**: motor reaction to pain
- **Sensorial**: activity of nociceptors
- **Autonomic**: reaction of the autonomic nervous system

Important terms to the topic of pain

The **sensitivity to pain**, which is referred to as algesia, is interrupted in the case of **analgesia** (medicinally through **analgesics**).

The **pain threshold** defines the point beyond which a consciously perceived stimulus is perceived as painful.

The point in time when a patient starts **taking measures against the pain is his or her intervention threshold**. The **tolerance threshold** is the maximum intensity of pain a person can endure (exceeding this threshold can result in loss of self-control, shock, and coma). **Phantom pain** is experienced in body parts that have been removed (e.g., after amputations). Phantom pains are explained by the reorganization of the sensory cortex areas. **Chronic pain** refers to pain that lasts longer than 6 months.

**Note**: The experience of pain is more intense for people dealing with depression and social isolation than it is for aged people and those with reduced vigilance.

References


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