Surgery

Pneumothorax — Diagnosis and Treatment

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In the emergency room or in the exam, the pneumothorax, one of the most important differential diagnoses of acute chest pain, has considerable importance both in clinical practice as well as in medical studies. In particular, the pathophysiology should be fully understood by every single medical student. This article provides an overview of the diagnosis and treatment of pneumothorax.

Definition of Pneumothorax

Open and closed pneumothorax

Pneumothorax refers to a collection of air in the pleural space, the potential between the lungs. This normally air-free space is located between the 2 pleurae, the parietal pleura (lines the chest wall) and the visceral pleura (covers the lung directly from the outside). If there is a connection to the outside, either through the chest wall (e.g., resulting from a stab wound or other injury from the outside) or through a connection to the bronchial system, it is called an open pneumothorax. A closed pneumothorax has no such connection to the outside air.
Pathophysiology of Pneumothorax

**Pneumothorax as the accumulation of air in the pleural space**

The pressure in the pleural space in a physiological resting expiratory position is approx. -0.5 kPa, which is negative pressure. This negative pressure in the pleural space prevents the elastic and contractile elements of the lungs from contracting to a point where they shrink up completely.

However, if air enters the pleural space, the negative pressure is released and the elastic forces outweigh it. In that case, the lung may collapse. This can be a full or partial collapse (apical or mantle pneumothorax).
Depending on the extent of the pneumothorax, the breathing can be severely affected due to the now present restrictive ventilatory defect. The so-called tension pneumothorax is particularly dangerous: It allows air into the pleural space through a 1-way valve mechanism but does not let it escape again. With every additional breath, air accumulates in the pleural space and eventually shifts the mediastinum due to the increasing pressure towards the other side. At the same time, it provokes the progressive loss of the function of the healthy lung and leads to an obstruction of the venous return (by a compression of the *venae cavae*) to the heart.

There is a risk of circulatory insufficiency!

**Note:** A tension pneumothorax is a life-threatening medical emergency!

### Etiology and Epidemiology of Pneumothorax

#### Traumatic pneumothorax and spontaneous pneumothorax

Depending on the cause of the pneumothorax, a distinction is made between traumatic and spontaneous pneumothorax.

<table>
<thead>
<tr>
<th>Traumatic pneumothorax</th>
<th>Spontaneous pneumothorax</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Trauma</strong></td>
<td><strong>Iatrogenic</strong></td>
</tr>
<tr>
<td>Blunt or sharp,</td>
<td>Lung surgery, central</td>
</tr>
<tr>
<td>penetrating injury</td>
<td>venous catheter</td>
</tr>
<tr>
<td></td>
<td>insertion (CVC)</td>
</tr>
<tr>
<td></td>
<td>insertion,</td>
</tr>
<tr>
<td></td>
<td>thoracentesis, etc.</td>
</tr>
<tr>
<td><strong>Primary (= idiopathic)</strong></td>
<td>NO medical history</td>
</tr>
<tr>
<td></td>
<td>of any lung</td>
</tr>
<tr>
<td></td>
<td>condition; in most</td>
</tr>
<tr>
<td></td>
<td>cases, due to</td>
</tr>
<tr>
<td></td>
<td>ruptured</td>
</tr>
<tr>
<td></td>
<td>emphysema</td>
</tr>
<tr>
<td><strong>Secondary</strong></td>
<td>Caused by a pre-existing</td>
</tr>
<tr>
<td></td>
<td>lung condition: chronic</td>
</tr>
<tr>
<td></td>
<td>obstructive pulmonary</td>
</tr>
<tr>
<td></td>
<td>disease (COPD), cystic</td>
</tr>
<tr>
<td></td>
<td>fibrosis, *Pneumocystis</td>
</tr>
<tr>
<td></td>
<td><em>jirovecii</em> pneumonia,</td>
</tr>
<tr>
<td></td>
<td>etc.</td>
</tr>
</tbody>
</table>

A traumatic pneumothorax is the result of an accident (e.g., penetrating thoracic trauma, complicated rib fractures, etc.) or iatrogenic (e.g., after placing a CVC, thoracentesis, or surgery to the lungs).

The **etiology** of the spontaneous pneumothorax can be further divided into an
idiopathic (primary) and secondary spontaneous pneumothorax. The idiopathic
tension pneumothorax is the most frequent variant and particularly affects young, tall,
and athletic men between 20–40 years of age (ratio men:women = 6:1).

There is no known pre-existing condition of the respiratory organs, but smoking and an
α1-antitrypsin deficiency are among the risk factors. It is assumed that in most cases,
the rupture of sub-pleural emphysema provokes a trauma of the parietal pleura and
therefore releases air into the pleural space. Such emphysema usually ruptures in the
apical area of the lungs.

A secondary spontaneous pneumothorax occurs in individuals with a known pre-existing
lung condition when an increased existence of emphysema, caverns, or bronchiectases
cause the pneumothorax. These include especially the COPD (in over 50% of cases),
cystic fibrosis, and pulmonary emphysema. Middle-aged and older patients are most
affected and the gender ratio is men:women = 3:1.

Clinical Signs and Symptoms of Pneumothorax

From dyspnea to circulatory shock with pneumothoraces

Small pneumothoraces can be symptom-free and remain undetected.

Asymptomatic idiopathic spontaneous pneumothorax, however, often manifests in a very
dramatic way: The patients experience a sudden sharp pain on the affected side,
irritation of the throat, and possibly dyspnea. If a tension pneumothorax develops, the
clinical condition of the patient deteriorates rapidly and presents pronounced dyspnea
and cyanosis, tachycardia, and hypotension — all the way up to respiratory
insufficiency and circulatory shock.
Diagnosis of Pneumothorax

Anamnesis and clinical examination of a pneumothorax

During the anamnesis, targeted questions can give 1st indications of a pneumothorax: Are there any known thoracic traumata? Have any surgical interventions been performed on the lungs? Have there been pneumothoraces before (recurrences are very common!)?

In the subsequent clinical examination, the following signs can be detected. They are also often a subject in medical exams:

- **Hyperresonant percussion** as well as a **decreased fremitus** on the affected side (these can also be detected without a stethoscope when performing 1st aid outside of a hospital!)
- Auscultation reveals **weakened breathing sounds** on the affected side.
- Possibly, **asymmetric respiratory movements** (‘lagging’ of the affected side)

Here, as always, the comparison of the left and right side in the percussion and auscultation is very important and indicatory!

Chest radiography on 2 levels as a gold standard

When the corresponding clinical symptoms are present, mere auscultation cannot provide a sufficient evidentiary basis on which to rule out the suspected diagnosis: In cases of any reasonable suspicion of pneumothorax, **chest radiography on 2 levels** should always be taken.

**Note:** The chest X-ray should not be performed, as would be usual, during maximum inspiration, but during maximum expiration (this way, smaller pneumothoraces can be recognized more easily)!

In the radiographic image, the **pleura visceral** is distinguishable as a lung border in the form of a fine line. Adjoining this line in peripheral direction is an area of increased radiolucency (due to the air present in the pleural space). In case of uncertainty regarding whether it is perhaps an artifact or, for example, a projection of the scapula, it is necessary to ascertain whether the pulmonary vascular markings go beyond this fine line. If not, this indicates a pneumothorax.

To assess whether it is a tension pneumothorax, you should always look for a **mediastinal shift** (shift of the heart and/or trachea silhouette towards the healthy side). In addition, a low standing diaphragm is often found on the affected side. In cases of traumatic pneumothoraces, it should be a matter, of course, to not overlook any associated injuries and to diagnose them (e.g., rib fractures, skin emphysema).
When in doubt, particularly small pneumothoraces can also be detected in a CT scan. Here, emphysema or other lung structural changes can also be depicted in more detail. Especially when considering a surgical intervention or assessing the likelihood of recurrence, a CT scan can offer more conclusive information. Yet due to the high radiation exposure, a chest CT will not be the 1st measure taken.

**Treatment of Pneumothorax**

**Controlled waiting or draining the pneumothorax?**

The treatment depends on the size of the pneumothorax, the clinical symptomatology, and the frequency of the occurrence (Relapse? Initial manifestation?).

- If it is a first-time idiopathic pneumothorax with just a few symptoms or a small pneumothorax (< 3 cm) after, e.g., a CVC insertion, it is recommended to wait for the air in the pleural space to become reabsorbed spontaneously during which time regular radiological controls have to be performed.
- If it is a pneumothorax with severe symptoms and/or size as well as in all cases of recurrence, pleural drainage will have to be performed.

**Monaldi’s or Büllau’s method for draining the pneumothorax?**

Here, you can find an overview of the indications for the 2 most common drainage methods and their respective access routes:

<table>
<thead>
<tr>
<th>Indication</th>
<th>Monaldi’s drainage</th>
<th>Büllau’s drainage (siphon drainage)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Isolated pneumothorax</td>
<td>Second (or third) intercostal space (ICS), midclavicular line</td>
<td>Fifth or sixth ICS, front to middle axillary line (in men, this corresponds approximately to the height of the mamilla)</td>
</tr>
<tr>
<td>Pneumothorax in combination with a hemothorax (blood must also be drained)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
The drain has to be pushed towards the apex because the air in the pleural space will rise upwards. Sometimes, the lung will start to re-expand even without applying suction; if not, suction may be set at \(-20\, \text{mm Hg}\). In some rare cases, however, if suction is applied too strong or too fast, this can cause so-called **re-expansion edema**!

**Note:** Always insert the drain at the upper edge of the rib as the intercostal vessels run along the lower edge of the ribs and could otherwise be injured!

**Surgical treatment of a pneumothorax**

Especially in cases with recurrences, secondary pneumothoraces, or when the pneumothorax is non-responsive to the drainage, surgical intervention should be considered as a next step. This would consist in a **thoracoscopy** performed under general anesthesia, where the subpleural bullae, the caverns, or bronchiectases are resected or atrophied in a **minimally invasive** procedure, and the defects of the pleura are sewn over. In addition, the pleura are roughened (**pleurodesis**) or **talcum** is introduced into the pleural space to support the adhesion of the 2 pleural layers. After such an intervention, the risk of recurrence is estimated at only < 5%!

**Using the Heimlich flutter valve for a pneumothorax**

In the event of a life-threatening tension pneumothorax, it is crucial to initiate **emergency medical care** (elevated upper body, administration of oxygen) and to provide **relief**. With a large-bore cannula, the second ICS is aspirated at a midclavicular point and an emergency valve inserted.

The best-known valve is the so-called **Heimlich flutter valve**. With this 1-way valve, the air can now escape but no new air can enter. If in an acute situation the appropriate equipment is not available (e.g., as first responders outside of working hours), then instead of the Heimlich valve, a cut **rubber finger cot** is attached to the puncture needle and can act as a 1-way valve, bringing the needed relief by the same principle as the Heimlich valve.

**Differential Diagnosis of Pneumothorax**

**Chest pain as cardinal symptom: pneumothorax**
as differential diagnosis

In medical exams, it is a popular question to ask about ‘chest pain’ as a cardinal symptom. You will need to be able to discuss the possible differential diagnoses — the pneumothorax is one of them.

Here are the 3 most important differential diagnoses of spontaneous pneumothorax at a glance:

<table>
<thead>
<tr>
<th>Differential diagnosis</th>
<th>Indicative diagnostics</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Pulmonary embolism</strong></td>
<td>Anamnesis (thrombosis? extended immobility? condition after surgery? etc.), electrocardiogram (ECG) (right ventricular strain?), laboratory tests (D-Dimer!)</td>
</tr>
<tr>
<td><strong>Myocardial infarction</strong></td>
<td>Anamnesis (known coronary artery disease (CAD)? other risk factors? pain character?), auscultation (over both lungs, a vesicular breathing sound should be noticeable!), ECG (ischaemic signs?), laboratory tests (cardiac enzymes)</td>
</tr>
<tr>
<td><strong>Pleuritis</strong></td>
<td>Anamnesis (breath-dependent pain?), auscultation (pleural rub?)</td>
</tr>
</tbody>
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Prevention of Pneumothorax

The high rate of recurrence of spontaneous pneumothorax (up to 50%) can be best countered by the surgical procedure described above. In addition, the patient should be advised to refrain from scuba diving (rapid changes in pressure conditions). Air travels under normal pressure compensation only have to be re-evaluated in cases with very large emphysema.

References


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